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In the UNITED STATES PATENT AND TRADEMAR

First Named Inventor: Wolfgang Klumpp

Application No.: 10/550,781

Atty. Docket No. 2003P04656WOUS

Filed: September 27, 2005

Title: TEMPERATURE COMPENSATION ELEMENT FOR A CONNECTION UNIT

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

► FACSIMILE ATTN TO: RAFAEL BACARES, PCT LEGAL EXAMINER

PCT LEGAL OFFICE

FAX NO.: 571-273-0459

RESPONSE TO DECISION ON PETITION UNDER 37 CFR 1.181

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the PCT Legal Office at the Patent and Trademark Office on January 21, 2009.

> Ann Hickey Typed or printed name of person signing Certificate

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- ▶This facsimile cover sheet which includes a Certificate of Transmission under 37 CFR 1.8 (1 pg)
- ► Supplemental Request to Withdraw the Holding of Abandonment (2 pgs.);
- ▶Declaration of Ann Hickey in Support of Request to Withdraw the Holding of Abandonment
- ▶ Copy of Facsimile Cover Sheet confirming receipt by the USPTO (1 pg.);
- ▶Declaration and Power of Attorney for Patent Application (4 pgs) and
- ▶ Copy of Decision on Petition Under 37 CFR 1.18 mailed November 20, 2008 (3 pgs.)

Number of pages being transmitted (including this cover sheet): 13 pgs

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Siemens Corporation

Legal and Intellectual Property Department

4400 Alafaya Trail Orlando Florida 32826

Tel: 407-736-2472 FAX: 407-736-6440

SIEMENS

PATENT Attorney Docket No. 2003P04656WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Inventor:	Wolfgang Klumpp, et al.) .	Group Art Unit:	Not Yet Assigned
Serial No.:	10/550,781)	Examiner:	Not Yet Assigned

Filed: September 27, 2005) Confirmation No: 1873

Title: TEMPERATURE COMPENSATION ELEMENT FOR A

CONNECTION UNIT

Mail Stop PCT LEGAL Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL REQUEST TO WITHDRAW THE HOLDING OF ABANDONMENT

In accordance with 37 CFR 1.181(a) and 1.8(b), Applicants respectfully request the Commissioner to withdraw the holding of abandonment on grounds that a complete reply to the Response to Notification of Missing Requirements within the time period specified in the Notification was filed with the USPTO.

On August 29, 2008, Office of PCT Legal Affairs Legal Examiner Rafael Bacares dismissed without prejudice Applicants' previously filed request to withdraw the holding of abandonment dated 26 June 2008. Mr. Bacares reasoned that Applicant did not satisfy requirement (2) of 37 CFR 1.8(b) because "the present petition includes a copy of the

Serial No. 10/550,781 Atty. Doc. No. 2003P04656WOUS

previously transmitted correspondence and certificate of transmission, however, no copy of the executed declaration filed on August 7, 2006 has been filed."

In response, Applicants submit a copy of the executed declaration filed on August 7, 2006 by the person who executed the Certificate of Transmission under 37 CFR 1.8 on the response materials, Ann Hickey, as indicated by the Certificate of Transmission.

On November 20, 2008, Office of PCT Legal Affairs Legal Examiner Rafael Bacares dismissed without prejudice Applicants' previously filed request to withdraw the holding of abandonment dated 08 September 2008. Mr. Bacares reasoned that Applicant did not satisfy requirement (3) of 37 CFR 1.8(b) because "Applicants (Mr. Musone) submit a copy of the executed declaration filed on August 7, 2006 by the person (Ann Hickey) who executed the Certificate of Transmission under 37 CFR 1.8". Both a Declaration of Ann Hickey and a copy of the facsimile report confirming receipt by the USPTO has been submitted previously and is being re-submitted.

Reconsideration and withdrawal of the holding of abandonment is therefore respectfully requested.

The Commissioner is hereby authorized to charge any appropriate fees due in connection with this paper, or credit any overpayments to Deposit Account No. 19-2179.

Respectfully submitted,

John P. Musone

Registration No. 44,961

(407) 736-6449

Siemens Corporation
Intellectual Property Department
170 Wood Avenue South
Iselin, New Jersey 08830

SIEMENS

PATENT Attorney Docket No. 2003P04656WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application	of:
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Inventor:	Wolfgang Klumpp, et al.	Group Art Unit: Not Yet Assigned
Serial No.:	10/550,781	Examiner: Not Yet Assigned
Filed:	September 27, 2005)	Confirmation No: 1873
Title:	TEMPERATURE COMPENSA	ATION ELEMENT FOR A

Mail Stop PCT LEGAL Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

DECLARATION OF ANN HICKEY IN SUPPORT OF REQUEST TO WITHDRAW THE HOLDING OF ABANDONMENT

- I, Ann Hickey, do hereby declare as follows:
- 1. I am an Intellectual Property Specialist that prepares and files documents on behalf of Siemens Aktiengesellschaft and its corporate affiliates. I currently assist Patent Attorney John P. Musone who is of record for the above-referenced patent application.
- 2. On August 7, 2006, prior to personally facsimile filing the Response To Notification of Missing Requirements signed by John P. Musone, I signed the Certification of Mailing stating "I hereby certify that this correspondence is being

JAN. 21. 2009 2:21PM 4077366440

NO. 1171 P. 5

Serial No. 10/550,781

Atty. Doc. No. 2003P04656WOUS

facsimile transmitted to 703-305-3230 at the Patent and Trademark Office on August 7, 2006." I then personally sent the Response, via facsimile, to the attention of Deborah D. Williams. Attached is a copy of the facsimile confirming receipt by the USPTO of this transmission.

3. I declare that all statements, made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or patent issuing therefrom.

Respectfully submitted,

Dated: ____1/16/2009

Ann Hickey

JAN. 21. 2009 2:21PM 4077366440

NO. 1171 P. 6

COMb. LICATION RESULT REPORT (AUG. 7.2.06 3:06PM) *

FAX HEADER 1: FAX HEADER 2: 407-736-6440

AUG. 7. 2006 :ANSMITTED/STORED : 3:01PM

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SIEMENS

FACSIMILE COVER SHEET

In the UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Wolfgang Klumpp

Application No.: 10/550,781

. Atty. Docket No. 2003P04656WOUS

Filed: September 27, 2005

Title: TEMPERATURE COMPENSATION ELEMENT FOR A CONNECTION UNIT

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

FACSIMILE ATTN TO: DEBORAH D. WILLIAMS

FAX NO.: 703-305-3230

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 871 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/ED/US)

Certificate of Transmission under 37 CFR 1.8

I heroby certify that this correspondence is being facelimite transmitted to the Patent and Trademark Office on

Wan Hicku Ann Hickey
Typed or printed name of person signing Certificate

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► Response to Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office bearing "date mailed" of 06/20/2006 (2 pgs, original + 1 copy);

▶Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States

Designated/Elected Office to be returned with response (2 pgs);

▶ Declaration for Patent Application, Power of Attorney & Designation of Correspondence

Number of pages being transmitted (including this cover sheet): 9 pgs

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Siemens Corporation

Address (4 pgs)

Legal and intellectual Property Department

4400 Alafaya Trail Orlando Fiorida 32826

Tel: 407-736-2472 FAX: 407-736-6440

2003P04656WOUS

Declaration and Power of Attorney For Latent Application Erklärung Für Patentanmeldungen Mit Vollmacht German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben 1st) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit dem Titel beantragt wird:

Temperaturausgleichselement für ein Anschlusseinheit deren Beschreibung hier beigefügt ist, es sei denn (in diesem Falle Zutreffendes bitte ankreuzen), diese Erfindung

wurde angemeldet am <u>09.27.2005</u>
unter der US-Anmeldenummer <u>10/550.781</u> oder
unter der Internationalen Anmeldenummer im
Rahmen des Vertrags über die
Zusammenarbeit auf dem Gebiet des
Patentwesens (PCT) _____ und am _____
abgeändert (fells zutreffend).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschließlich der Ansprüche, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde, durchgesehen und verstanden habe.

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkelt in Einklang mit Titel 37, Code of Federal Regulations, § 1.56 von Belang sind.

Ich beanspruche hiermit ausländische Prioritätsvortelle gemäß Title 35, United States Code, § US-Code, § 119 (a)-(d), bzw. § 365(b) aller unten aufgeführten Auslandsanmeldungen für Patente Erfinderurkunden, oder § 365(a) aller PCT internationalen Anmeldungen, welche wenigstens ein Land außer den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslandsanmeldungen für Patente bzw. Erfinderurkunden oder PCT Internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird, vorangeht.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

<u>Temperature Compensation Element for a Connection</u>
Unit

the specification of which is attached hereto unless the following box is checked:

was filed on 09,27,2005 as United States
Application Number 10/550,781 or PCT
International Application Number
_____ and was amended on
_____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose Information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority under Title 35, 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Page 1

DNR: 1620 / 09.09.2005

		German Langu	lage Declaration	······································	<u> </u>
Prior foreign appplicat Priorität beansprucht	ions .			<u> Priori</u>	ty Claimed
10314406.4 (Number) (Nummer)	<u>DE</u> (Country) (Land)	28.03,2003 (Day Month Y (Tag Monat Ja	ear Filed) ahr eingereicht)	Yes Ja	No Nein
(Number) (Nummer)	- (Country) (Land)	(Day Month Y (Tag Monat Ja	ear Filed) ahr eingereicht)	□ Yeş Ja	No Neln
(Number) (Nummer)	(Country) (Land)	(Day Month Yo (Tag Monat Ja	ear Filed) hr eingereicht)	☐ Yes Ja	□ No Nein
(Number) (Nummer)	(Country) (Land)	(Day Month Yo (Tag Monat Ja	ear Filed) hr eingereicht)	∐ Yes Ja	□ No Nein
Ich beanspruche hler Code, § 120 zust aufgeführten US-Pate aller PCT internation Vereinigten Staaten erkenne, insofem of früheren Anspruchs deiner US-Patentanmel Anmeldung in in einer Title 35, US-Code, § Welse offenbart wurde jeglicher Informatione Patentfähigkeit in Ein Federal Regulations, im Zeitraum zwischen Patentanmeldung un Rahmen des Vertrags dem Gebiet des internationalen Anmeldung un standard vertrags dem Gebiet des internationalen Anmeldung un	rehenden Vorteile antanmeldungen balen Anmeldungen von Amerika broder Gegenstand dieser Patentanme Idung, bzw. PCT gemäß dem erst 112 vorgeschriete, meine Pflicht zu an an, die zur nklang mit Title § 1.56 von Belang at dem Anmeldetag at dem Anmeldetag stüber die Zusam Patentwesen (P	e aller unten czw. § 365(c) an, welche die enennen, und eines jeden eldung nicht in internationalen en Absatz von cenen Art und ur Offenbarung Prüfung der 37, Code of g sind und die g der früheren len oder im umenarbeit auf	I hereby claim the ber Code, § 120 of any 365(c) of any PCT Interpretation of the United States, it is subject matter of each is not disclosed in International applications paragraph of Titte acknowledge the duty material to patentabilis Federal Regulations, between the filling data national or PCT in application.	United States ernational applisted below as high of the claims the prior United III the man as 35, United State of the disclose in the man as defined I so 1.56 which the of the prior is entitled.	application(s), or § lication designating nd, insofar as the s of this application ed States or PCT her provided by the ates Code, § 112, information which is in Title 37, Code of the application and the application and the
(Application Serial No.) (Anmeldeseriennummer)	(Filing (Anme	Date D. M. Y) Idedatum T. M. J)	(Status) (patentiert, anhängig, aufgegeben)	(j	Status) patented, pending, bandoned)
(Application Serial No.) (Anmeldeseriennummer)	(Filling I (Anmel	Date D.M.Y) dedatum T. M; J)	(S latus) (patentiert, anhängig, aufgeben)	Ġ	Status) extented, pending, bandoned)
Ich erkläre hlermit, of Erklärung von mir gen Wissen und Gewissen ferner dass ich dies Kenntnis dessen ab vorsätzlich falsche Ang 1001, Title 18 des UGeldstrafe und/oder Geund dass derartige wis Angaben die Rechts Patentanmeldung oder Patentes gefährden kör	nachten Angaben der Wahrheit ents e eidesstattliche blege, dass wis aben oder dergleic 15-Code strafbar sentlich und vorsäwirksamkeit der eines auforund d	nach bestem sprechen, und Erklärung in sentlich und chen gemäß § sind und mit erden können ätzlich, falsche	I hereby declare that a own knowledge are the on information and be further that these standard that willful made are punishable lunder Section 1001 of Code and that such jeopardize the validity issued thereon.	ue and that all lief are believe atements were false statement by fine or imprior of Title 18 of the willful false	statements made and to be true; and e made with the arts and the like so isonment, or both, the United States

German Lang	uage Declaration
VERTRETUNGSVOLMACHT: Als benannter Erfinder beauftrage ich hiermit den (die) nachstehend aufgeführten Patentanwalt (Patentanwälte) und/oder Vertreter mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Angelegenheiten vor dem US-Patentund Markenamt (Name(n) und Registrationsnummer(n) auflisten)	POWER OF ATTORNEY: As a named inventor, hereby appoint the following attomay(s) and/o agent(s) to prosecute this application and transact a business in the Patent and Trademark Offic connected therewith: (list name and registration number)
Custome	er No. 22116
	John P. Musone Reg. No. 44,961, Daniel J Staudt Reg. No. 34,733, Erik C. Swanson Reg. No. 40,194
	Send Correspondence to:
	Siemens Corporation Intellectual Property Departement 170 Wood Avenue South Iselin, NJ 08830
Telefongespräche bitte richten an: (Name und Telefonnummer)	Direct Telephone Calls to: (name and telephone number
	Elsa Keller (732) 321 - 3026
Votler Name des elazigen oder ursprünglichen Erfinders:	Full name of sole or first inventor.
Wolfgang Klumpp	Wolfgang Klumpp
Unterschrift des Erfinders Datum	Inventors signature Confidence Co
Wohnsitz	Residence
Achern, GERMANY Staatzangehörigkeit	Achern, GERMANY
DE	Citizenship
Postanschritt	DE (GERMANY) Post Office Aridese
Kappelgasse 5	Kappelgasse 5
77855 Achern	77855 Achern
GERMANY	GERMANY
Voller Name des zweiten Miterfinders (falls zutreffend):	Full name of second Joint Inventor, if any:
Willi Maier	Willi Maier
Unterschrift des Erfinders Datum	Inventors gnature Dale
Wohnsiiz	27.09.05
	Residence
Oppenau, GERMANY Staatsangehörigkeit	Oppenau, GERMANY
DE	DE (GERMANY)
Postanochrin	Post Office Address
Industriestr. 4	Industriestr. 4
77728 Oppenau	77728 Oppenau
GERMANY	GERMANY
(Bitte entsprechende Informationen und Unterschriften im Falle von dniten und weiteren Miterfindem angeben).	(Supply similar information and signature for third and subsequent joint inventors).
	2 A A A A A A A A A A A A A A A A A A A
orm PTO/\$B/109 (6-86)	age 3

Voller Name des dritten Miterfinders:	Full name of third joint inventor.
Wigand Schneiderheinze	Wigand Schneiderheinze
Unterschrift des Erfinders Datum	Inventor's signature 3 w. d. 27. 43.0
Wohnsitz	Residence
Leimersheim, GERMANY	Leimersheim, GERMANY
Staatsangehörigkelt .	Citizenship
DE	DE (GERMANY)
Postarschrift	Post Office Address
Offerbachstr. 10	Otterbachstr. 10
76774 Leimersheim	76774 Leimersheim
GERMANY	GERMANY
Voller Name des vierten Miterfinders:	Full name of fourth joint inventor:
Unterschrift des Erfinders Datum	Inventor's signature Date
Wohnsitz	
***************************************	Residence
Staatsangehörligkeit	
O Maria Bella i Brest	Citizenship
Postanzchrift	Post Office Address
•	Post Office Address
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Voller Name des fünften Miterfinders:	Full name of fifth joint inventor:
	·
Unterschrift des Erfinders Datum	inventor's signature Date
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Wohnsitz	Residence
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Steatsangehörigkeit	Cillzenship
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Postanschrift	Post Office Address
Voller Name des sechsten Milerinders:	Full name of sixth joint inventor.
Unlarschift des Erinders Datum	Inventor's signature Date
Wonneltz	Residence
1 Dividend	<u></u>
Staalsangenerigkelt	Citizenship
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te entsprechende Informationen und Unterschriften i e von dritten und weiteren Miterfindern angeben).	
and workers when well angeben).	subsequent joint inventors).



UNITED STATES PATENT and TRADEMARK OFFICE

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Commissioner for Patents United States Patent and Trademark Office P.O Box 1450 Alexandria, Va 22313-1450 www.uspto.gov

Siemens Corporation
Intellectual Property Department
170 Wood Avenue South
Iselin, NJ 08830

101 21

In re Application of WOLFGANG et al

Application No.: 10/550,781 PCT No.: PCT/DE2003/003288

Int. Filing Date: 02 October 2003-

Priority Date: 28 March 2003

Attorney's Docket No.: 2003P04656WOUS

For: TEMPERATURE COMPENSATION ELEMENT

FOR A CONNECTION UNIT

DECISION ON

PETITION UNDER -

37 CFR 1.181

This Decision is in response to applicants' "SUPPLEMENTAL REQUEST TO WITHDRAW THE HOLDING OF ABANDONMENT" filed on 08 September 2008, which is being treating as a renewed petition under 37 CFR 1.181 requesting that the above-identified application's declaration was timely filed on 07 August 2006 by facsimile.

BACKGROUND

In a decision from this on Office on 26 August 2008, the petition filed on 26 June 2008 under 37 CFR 1.181 was dismissed. The decision stated that applicants did not meet item (2) been filed.

On 08 September 2008, petitioner filed the current renewed petition with included a copy of the executed declaration filed on August 7, 2006.

DISCUSSION

A petition under 37 CFR 1.181 filed under 37 CFR 1.8(b) Certificated of mailing or transmission must be accompanied by:

- (b) In the event that correspondence is considered timely filed by being mailed or transmitted in accordance with paragraph (a) of this section, but not received in the Patent and Trademark Office, and the application is held to be abandoned or the proceeding is dismissed, terminated, or decided with prejudice, the correspondence will be considered timely if the party who forwarded such correspondence:
- (1) Informs the Office of the previous mailing or transmission of the correspondence promptly after becoming aware that the Office has no evidence of receipt of the correspondence;

Application No.: 10/550,781

2

- (2) Supplies an additional copy of the previously mailed or transmitted correspondence and certificate; and
- (3) Includes a statement which attests on a personal knowledge basis or to the satisfaction of the Director to the previous timely mailing or transmission. If the correspondence was sent by facsimile transmission, a copy of the sending unit's report confirming transmission may be used to support this statement.

Although petitioner satisfied item (2), item (3) is now not satisfied under 37 CFR 1.8(b).

With regard to item (2) above it is satisfied, the present petition includes a copy of the previously transmitted-correspondence and-certificate of transmission, a copy of the executed - declaration filed on August 7, 2006 has been filed.

With regard to item (3) above, section 512 of the MPEP states the following: "If the person signing the statement did not sign the certificate of mailing, then the person signing the statement should explain how they have firsthand knowledge of the previous timely mailing or transmission." The renewed petition, which is signed by John P. Musone, states that "Applicants or submit a copy of the executed declaration filed on August 7, 2006 by the person who executed the Certificate of Transmission under 37 CFR 1.8." However, Mr. Musone is not the person who executed the Certificate of Transmission for the August 7, 2006 transmission, and he does not state "how he has firsthand knowledge of the previous mailing or transmission," as required by the MPEP (the renewed petition does not include a confirming statement from Ann Hickey, the person who signed the Certificate of Transmission).

Based on the above, the present submission does not satisfy the requirement of 37 CFR 1.8(b)(3).

Accordingly, on the present record it cannot be concluded that the declaration was included with the present petition were originally filed by facsimile on August 7, 2006 as a timely response to the Notification Of Missing Requirements.

CONCLUSION

For the reasons discussed above, applicants' petition to withdraw the holding of abandonment under 37 CFR 1.181 and 1.8(b) is **DISMISSED** without prejudice.

The application remains ABANDONED.

Any request for reconsideration must be filed within TWO (2) MONTHS of the mail date of the present decision and must include the materials required to satisfy 37 CFR 1.8(b)(3), as discussed above and in the MPEP. The reconsideration request should include a cover letter entitled "Second Renewed Petition Under 37 CFR 1.181".

. JAN. 21. 2009 2:22PM

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NO. 1171 P. 13

Application No.: 10/550,781

3

Any further correspondence with respect to this matter should be addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

Rafael Bacares
PCT Legal Examiner

PCT Legal Office

Telephone: (571) 272-3276 Facsimile: (571) 273-0459

Ø 001

RECEPTION OK

TX/RX NO

RECIPIENT ADDRESS

DESTINATION ID

ST. TIME

TIME USE

PGS.

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